

Example 3: BAW resonators in smartphones

- BAW (Bulk Acoustic Wave resonators) resonators use a **piezoelectric layer (AlN most of time)** sandwiched between two metallic electrodes
- Thickness mode with longitudinal (or shear) vibration: $f_0 = \frac{1}{2} \sqrt{\frac{E}{\rho}} \frac{1}{t_{Resonator}}$
→ with $t_{Resonator}$ in the μm range, $f_0 \approx 5\text{GHz}$



Longitudinally vibrating thickness mode
(contraction / expansion of the resonator in the direction of its thickness)

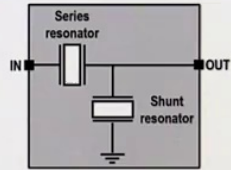
- BAW are used to make band-pass filters: the coupling coefficient $k_t^2 (= \frac{f_0 - f_{antiresonance}}{f_0})$ critical in terms of filter bandwidth and insertion loss

Micro and Nanofabrication (MEMS)

Let's move to example 3 : BAW resonators in smartphones. As I said, BAW stands for Bulk Acoustic Wave resonators. The resonance frequency is generally in the range of the gigahertz. In terms of structure, BAW uses a piezoelectric layer made of aluminium nitride most of the time sandwiched between a bottom and a top electrode, usually made of molybdenum. In terms of resonance mode, a thickness mode, either with longitudinal or shared vibration is operated. In the longitudinal mode, the resonator expands and contracts all the time in the direction of its thickness. Its resonance frequency is half the velocity of sound divided by the resonator thickness. The velocity of sound is the square root of the young modulus divided by the density. With a thickness of 1 micron approximately, the resonance is around 5 gigahertz. BAW resonators are used to implement band-pass filters - I will get back to this in the next slide. In this sense, the so-called KT square coupling coefficient is critical in terms of filter bandwidth and insertion loss. The KT square is given by the ratio between the difference between the resonance frequency and the antiresonance frequency divided by the resonance frequency. In fact, the KT square depends on piezoelectric material properties. Therefore, the deposition and further process of the piezoelectric layer is crucial.

Filters based on BAW resonators

- Unit cell of “Ladder filter” (replicated n times): 2 BAW resonators

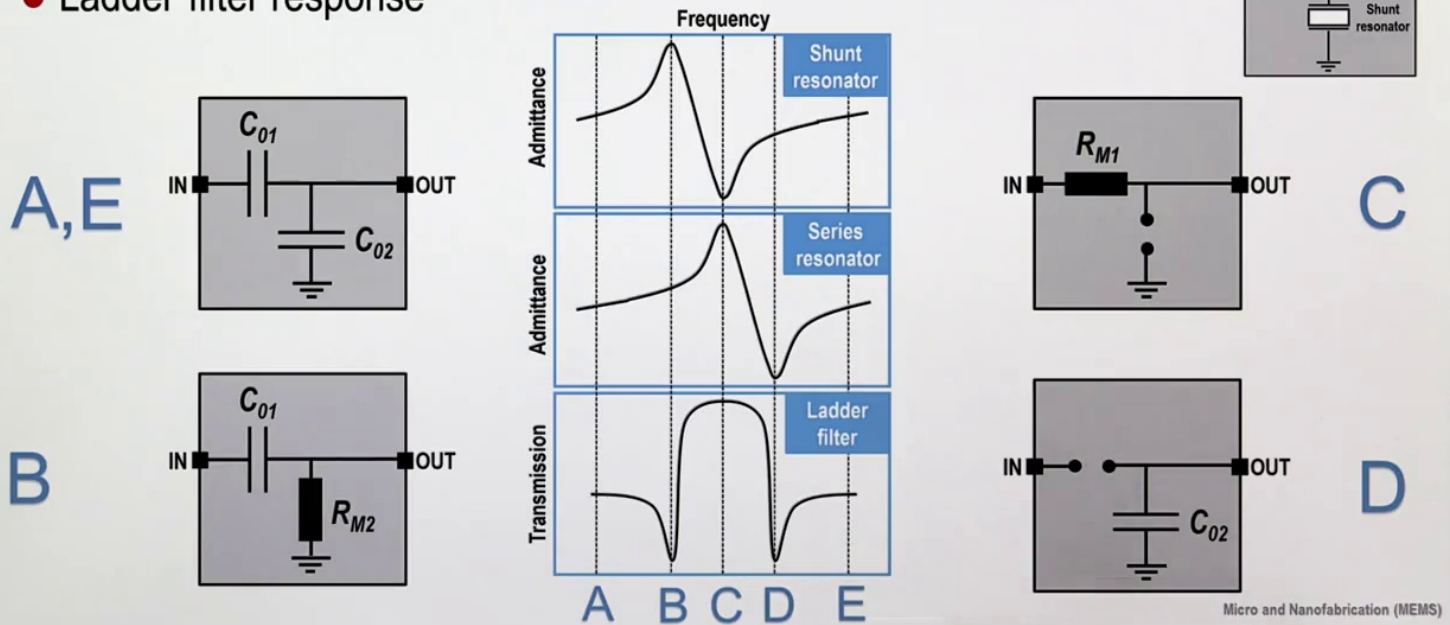


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How can we build a filter using BAW resonators? Basically by implementing a ladder filter architecture. Its unit cell that can be replicated n times contains 2 BAW resonators : a series one and a shunt one connected to ground.

Filters based on BAW resonators

- Unit cell of “Ladder filter” (replicated n times): 2 BAW resonators
- Ladder filter response



Let's explain how it works by observing the filter response. At the center of the slide, the frequency response of the shunt resonator is depicted at the top. The one of the series resonator is depicted here and this is the response on the whole filter. Please note that the shunt resonator response is shifting down to lower frequencies, such that the shunt antiresonance coincides with the series resonance. In state A, both resonators are far from the resonance frequency. Therefore, they both behave as capacitors. In state B, the series resonator is still far from resonance. And it behaves as a capacitor while the shunt one is at resonance. In other words, its impedance is minimum. In fact, in this state, its impedance is equal to its so-called motional resistance which is less than 1 ohm. As a consequence, the output node is almost grounded and there is no signal transmission. In state C, the series resonator is at resonance. In other words, its impedance is minimum and of less than 1 ohm. In the meantime, the shunt one is at its antiresonance. In other words, it is at its maximum impedance and it behaves as an open circuit. As a consequence, the impedance between input and output nodes is minimum and a signal transmission is maximum. In state D, the series resonator is at its antiresonance, in other words, at its maximum impedance. And it behaves as an open circuit. The shunt one is far from resonance and behaves as a capacitor. As a consequence, the impedance between input and output nodes is maximum and the signal transmission is minimum. In state E, the situation is very similar to state A. Both resonators behave as capacitors.

BAW – example of SMR fabrication process

- 2 types of BAW (2 approaches of acoustic confinement): FBAR (suspended resonator over an air gap) and SMR (unreleased resonator over a Bragg mirror)

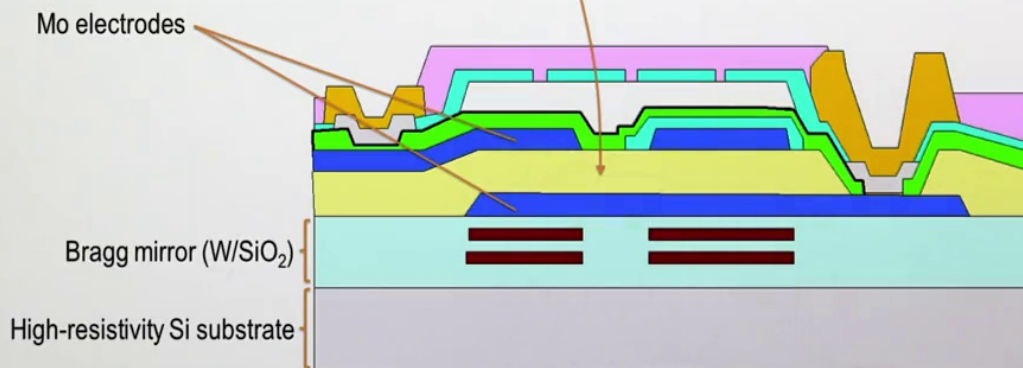


Micro and Nanofabrication (MEMS)

So this is basically a summary of how a ladder filter using BAW resonators works. Let's turn to the fabrication process of BAW. Let's first mention that there are 2 types of BAW due to the fact that there are 2 main approaches of acoustic wave confinement. So called FBAR, thin Film Back Acoustic wave Resonators are suspended resonators over an air gap. So-called SMR, Solidly Mounted Resonators, consist of unreleased resonators, so I mean not suspended, located over a Bragg mirror that reflects back the waves. We will focus on this type.

BAW – example of SMR fabrication process

- 2 types of BAW (2 approaches of acoustic confinement): FBAR (suspended resonator over an air gap) and **SMR (unreleased resonator over a Bragg mirror)**
- **Critical process module n°1:** deposition process (PVD) of the AlN MEMS layer → critical to reach (i) a precise and uniform thickness (inducing f_0) and (ii) good piezoelectric properties (inducing k_t^2)

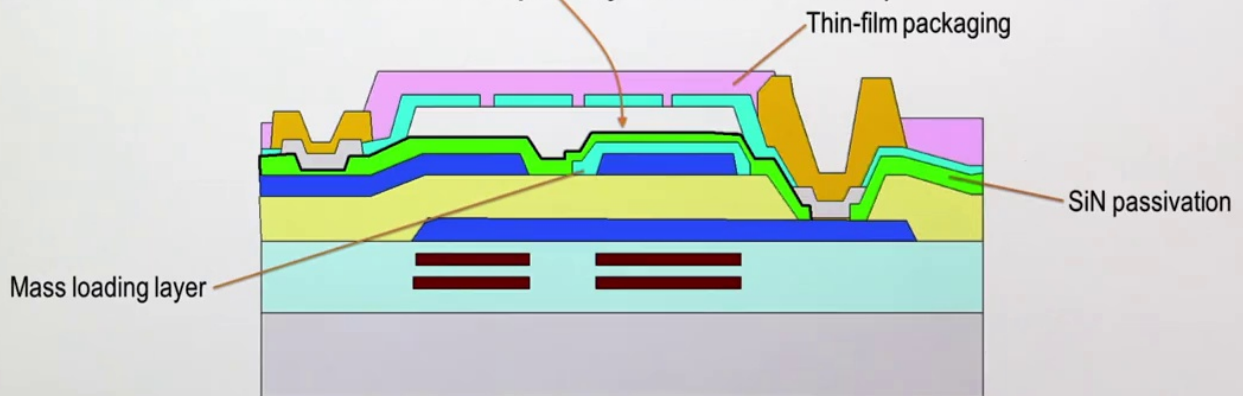


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This is a cross sectional view of an SMR, processed on a high resistivity silicon substrate. You can see the Bragg mirrors here which are made of tungsten plates surrounded by SiO₂. The first critical process module consists in depositing an aluminium nitride layer by physical vapor deposition on top of a properly oriented molybdenum bottom electrode. This sputtering technique allows obtaining first, a precise and uniform thickness - have in mind that the resonance frequency is inversely proportional to the thickness - and second, good piezoelectric properties which result in high KT square. The top electrode is also made of molybdenum.

BAW – example of SMR fabrication process

- All resonators are identical with one exception: some have a loading layer to separate them in frequency to obtain distinct shunt and series resonators
- **Critical process module n°2:** wafer-scale ion beam trimming
→ local removal of material on each resonator to adjust f_0 if needed (all filters must have the same center frequency and bandwidth)



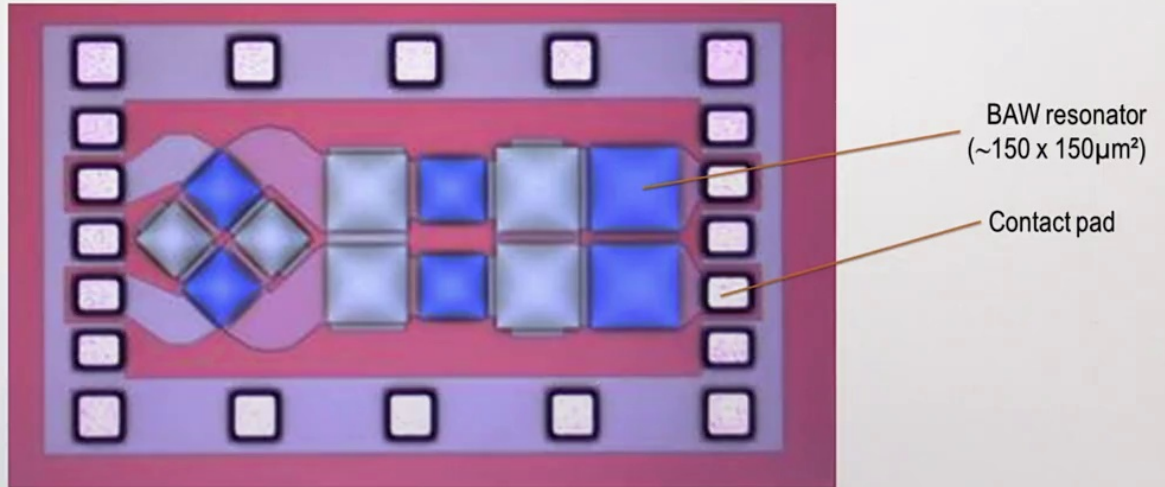
Micro and Nanofabrication (MEMS)

Another important step is the mass loading of shunt resonators. In fact, shunt and series resonators are identical by design. Then, this mass deposition on top of the shunt resonators allows to adequately decrease the resonance frequency so that the antiresonance coincides with the series resonator resonance, as I explained in the previous slide. The second critical process step is wafer-scale ion beam trimming. By locally removing some material, the ion beam allows precisely adjusting the resonance frequencies of each series and shunt resonators. It's indeed very important that each filter has the same bandwidth and center frequency. The resonators have a silicon nitride passivation, in green and are capped with a thin-film packaging, in pink, to provide them with an hermetic and clean cavity at ambient pressure.

BAW – example of SMR fabrication process

- **Top view of a filter**

- One square = one resonator
- Depending on whether they are mass loaded or not (series or shunt), the resonators color is different



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This optical microscope image shows the top view of a filter chip at the end of the fabrication process. Basically, the white squares and rectangles are metallic contact pads. And the coloured squares are BAW resonators which have a typical 150 by 150 square micron area. Depending on whether they are mass-loaded or not, I mean series or shunt, the resonator's colour is different.

Application – BAW filters in smartphones

- Key players: Avago (FBAR), Qorvo & TDK-EPCOS (SMR)

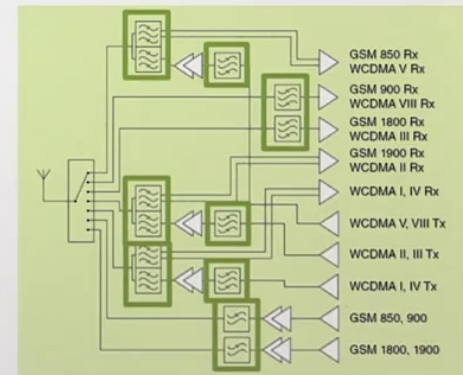


Micro and Nanofabrication (MEMS)

So let's talk about application now. First, which MEMS companies sell these kinds of devices? Let's cite Avago that produces FBARs and Qorvo and TDK-EPCOS that produce SMR's.

Application – BAW filters in smartphones

- Key players: Avago (FBAR), Qorvo & TDK-EPCOS (SMR)
- A key element for RF front-end modules (RF stage located between the antenna and the ADC) – for telecom band selection (band-pass filter)
- More than 20 BAW filters dies in iPhone 6S



G. Hueber et al., IEEE Microwave magazine 2015

Micro and Nanofabrication (MEMS)

BAW band-pass filters have become a key element of RF front-end modules of smartphones. Such modules are essentially the RF stage located between the antenna and the analog digital convertor. In this context, BAW filters are used to select a given telecom band. In green, you can see all the filters present in this example of front-end module. And for example the iPhone 6S contains more than 20 BAW filters dies either for 4G or LTE connection or for Wi-Fi connection.

Successful MEMS products that we use every day

- MEMS are now ubiquitous in our daily life
- 3 focuses in this course



I would like to wrap up this lesson. We have analysed 3 examples of commercially successful MEMS devices. All these devices have been sold in the hundreds of millions of units and all of them rely on advanced micro and nano fabrication techniques that you will see in the upcoming lessons of this MOOC. Thanks for your attention.